

# REQUEST FOR DISPOSITION OF A NONTRANSFERABLE SECURITY

## I. ACCOUNT INFORMATION

ACCOUNT TITLE: \_\_\_\_\_

ACCOUNT NUMBER:     -

## II. SECURITY INFORMATION

I am currently the owner of the following security, which is on deposit with you:

QUANTITY: \_\_\_\_\_

DESCRIPTION: \_\_\_\_\_

CUSIP® NUMBER: \_\_\_\_\_

I wish to eliminate this position from my account and I therefore direct you to take the following action. Check one:

- BECAUSE THERE IS CURRENTLY NO TRANSFER AGENT, THE SHARES CANNOT BE REGISTERED IN MY NAME. PLEASE ISSUE TO ME A NON-NEGOTIABLE CERTIFICATE OF OWNERSHIP FOR MY NONTRANSFERABLE POSITION AND REMOVE THE POSITION FROM MY ACCOUNT. IF THE CORPORATION REOPENS ITS TRANSFER BOOKS, I UNDERSTAND THAT YOU WILL NEED THIS CERTIFICATE TO BE PRESENTED IN ORDER FOR MY POSITION TO BE REESTABLISHED.
- I WISH TO SELL MY POSITION FOR TOTAL PROCEEDS OF ONE DOLLAR (\$1.00 FOR THE ENTIRE POSITION) PLEASE EFFECT MY SALE, WHICH WILL GENERATE A CONFIRMATION TO ME AND WILL BE IRREVERSIBLE. I UNDERSTAND THAT PERSHING WILL BE THE BUYER IN THIS TRANSACTION AND THAT PERSHING MAKES NO REPRESENTATION ABOUT THE VALUE, IF ANY, OF THIS SECURITY.

## III. AUTHORIZATION AND SIGNATURE

ACCOUNT OWNER'S SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

JOINT ACCOUNT OWNER'S SIGNATURE: (if applicable) \_\_\_\_\_

DATE: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_

STATE: \_\_\_\_\_

ZIP: \_\_\_\_\_

CUSIP® belongs to its respective owner.