

**Stock Option Exercise and Sale Form**

Client Name \_\_\_\_\_

Client's Account Number \_\_\_\_\_

A. Number of shares to be exercised \_\_\_\_\_

B. Exercise price per share \$ \_\_\_\_\_

C. Total exercise cost (A x B) \$ \_\_\_\_\_

D. Amount of withholding tax \$ \_\_\_\_\_

E. Loan amount requested (C + D)  
(This amount will be forwarded directly to your company) \$ \_\_\_\_\_

Trade Instructions:

- 1. \_\_\_\_\_ I wish to sell all of my shares at the then market price upon approval and acceptance of my application.
- 2. \_\_\_\_\_ I wish to sell a portion of my shares at the then market price and hold the remaining securities in my margin account. I understand that the normal margin requirements apply.
  - a. \_\_\_\_\_ Number of shares to be sold
  - b. \_\_\_\_\_ Number of shares held in margin account
- 3. \_\_\_\_\_ I wish to hold all shares in my margin account and will direct the sale at a later time. I understand that normal margin requirements apply.

I understand that once these instructions have been completed, acceptance granted, and funds forwarded to my company, no changes can be made regardless of market condition. I hold harmless Trade Manage Capital, Inc. and the Pershing LLC. for any and all liability associated with the market fluctuation of the stock price.

\_\_\_\_\_  
Employee Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Employer Signature

\_\_\_\_\_  
Day Time Phone